

Self-Assessment Form of Qualification

Self-Assessment	Facts		
(1) Its non-performing loan (NPL) ratio did not exceed 1% at the end of each month for the last six months; <input type="checkbox"/> Yes <input type="checkbox"/> No (2) Its loan loss provision coverage ratio at the end of the most recent month was 150% or higher <input type="checkbox"/> Yes <input type="checkbox"/> No	Period	NPL Ratio	Loan Loss Provision Coverage Ratio
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(3) The ratio of its regulatory capital to risk-weighted assets at the end of the most recent quarter reaches the statutory minimum requirement plus 1 percentage point; <input type="checkbox"/> Yes <input type="checkbox"/> No	A. Ratio of its regulatory capital to risk-weighted assets		
	Total Capital Adequacy Ratio		%
	Tier 1 Capital Ratio		%
	Common Equity Tier 1 Ratio		%
	B. The applicants shall submit ratio of its regulatory capital to risk-weighted assets reviewed by a certified public accountant including the calculation form and the relevant information.		
(4) Not having been subject to major sanction/penalty or cumulative fines of NTD 1 million or more by the competent authority in the past year <input type="checkbox"/> Yes <input type="checkbox"/> No	The improvement actions taken by the bank has been recognized by the competent authority : <div style="height: 100px; border: 1px solid black;"></div>		

Note: The ratio of its regulatory capital to risk-weighted assets shall be calculated in accordance of Article 44 of *the Banking Act of Republic of China*. The commercial bank shall comply with Article 5 of *Regulations Governing the Capital Adequacy and Capital Category of Banks* for the commercial bank whilst the industrial bank shall comply with Article 8 of *Regulations Governing The Establishment Criteria and Administration of The Industrial Bank*.

Staff

**Manager of
Offshore Banking Branch**

General Manager

Authorized Signature